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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bermudes, et al.

Application No.: 09/645,415

Filed: August 24, 2000

Group Art Unit: 1614

Examiner: To be assigned

For: COMPOSITIONS AND METHODS FOR Attorney Docket No.: 8002-059

TUMOR-TARGETED DELIVERY OF

**EFFECTOR MOLECULES** 

## TRANSMITTAL OF SUBSTITUTE SEQUENCE LISTING UNDER 37 C.F.R. § 1.821

Assistant Commissioner for Patents Washington, DC 20231

Sir:

In response to the Notice to Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures mailed November 2, 2000, a copy of which is submitted herewith, and in accordance with 37 C.F.R. § 1.821, Applicants, in connection with the above-identified patent application, submit herewith a Substitute Sequence Listing in paper and computer readable form pursuant to 37 C.F.R. §§ 1.821(c) and (e).

Please replace the paper and computer readable copies of the Sequence Listing filed August 24, 2000 with the paper and computer readable copies of the Substitute Sequence Listing submitted herewith. I hereby state that the content of the paper and computer readable copies of the Substitute Sequence Listing, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e),

respectively, are the same. I hereby state that the submission herein under 37 C.F. R. § 1.821(g) does not include new matter.

espectfully submitted,

By: Garufer Cheda. Reg No. 46, 617

Date: December 21, 2000

Geraldine F. Baldwin

(Reg. No.)

PENNIE & EDMONDS LLP

1155 Avenue of the Americas New York, New York 10036-2711 (212) 790-9090-----

Enclosures



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER FILING/RECEIPT DATE APPLICATION NUMBER 8002-059-999 David G. Bermudes 08/24/2000

09/645,415

20583 PENNIE AND EDMONDS 1155 AVENUE OF THE AMERICAS NEW YORK, NY 100362711



Date Mailed: 11/02/2000

REMENTS FOR PATENT APPLICATIONS NOTICE TO COMPLY WITH RE CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason
  - This application clearly fails to comply with the requirements of 37 CFR 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). For questions regarding compliance to these requirements, please contact:
    - For Rules Interpretation, call (703) 308-1123
    - For CRF Submission Help, call (703) 308-4212
    - Patentin Software Program Support
    - For Technical Assistance, call (703) 287-0200
    - To Purchase Patentin Software, call (703) 306-2600

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE